

Sheet 1 of 3

Form PTO-1449

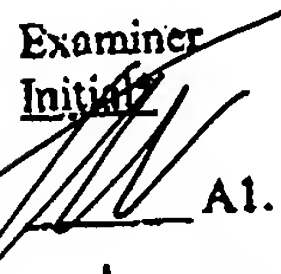
Applicant: «FormData»  
Serial No.: «FormData»  
Filing Date: «FormData»  
For: «FormData»

Att'y Docket No.: «FormData»  
Group: «FormData»

**INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT****U.S. Patent Application Publication Documents**

| Examiner<br>Initial* | Patent<br>Number | Publication<br>Date | Name | Class | Sub<br>Class | Filing<br>Date |
|----------------------|------------------|---------------------|------|-------|--------------|----------------|
|----------------------|------------------|---------------------|------|-------|--------------|----------------|

**U.S. Patent Documents**

| Examiner<br>Initial*   | Patent<br>Number | Issue<br>Date | Name         | Class | Sub<br>Class | Filing<br>Date |
|--|------------------|---------------|--------------|-------|--------------|----------------|
|  A1. | 165,584          | 07/13/1875    | Hopfen       | --    | --           | 06/10/1875     |
| A2.  | 1,637,153        | 07/26/27      | Lawton       | --    | --           | 10/23/26       |
| A3.  | 2,257,709        | 09/30/41      | Anderson     | 128   | 260          | 10/14/38       |
| A4.  | 3,339,547        | 09/05/67      | Drabkowski   | 128   | 260          | 11/27/64       |
| A5.  | 3,527,219        | 09/08/70      | Greenberg    | 128   | 260          | 08/21/67       |
| A6.  | 3,577,640        | 05/04/71      | Lec          | 32    | 32           | 10/08/69       |
| A7.  | 3,624,909        | 12/07/71      | Greenberg    | 32    | 40           | 05/26/70       |
| A8.  | 3,955,281        | 05/11/76      | Weitzman     | 32    | 14 B         | 12/05/74       |
| A9.  | 4,044,762        | 08/30/77      | Jacobs       | 128   | 136          | 08/23/76       |
| A10.   | 4,063,552        | 12/20/77      | Going et al. | 128   | 136          | 04/02/76       |
| A11.   | 4,064,628        | 12/27/77      | Weitzman     | 32    | 14 B         | 03/08/76       |
| A12.   | 4,138,814        | 02/13/79      | Weitzman     | 32    | 14 B         | 12/22/77       |
| A13.   | 4,902,227        | 02/20/90      | Smith        | 433   | 215          | 05/04/88       |
| A14.   | 5,051,476        | 09/24/91      | Uji et al.   | 525   | 186          | 03/23/90       |
| A15.   | 5,085,585        | 02/04/92      | Zimble       | 433   | 80           | 02/05/91       |

Examiner: 

Date Considered: 10-27-05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 3

Form PTO-1449.

Applicant:

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Att'y Docket No.: «FormData»

Group: «FormData»

|  |           |            |                  |     |     |          |
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|  A16.   | 5,211,559 | 05/18/93   | Hart et al.      | 433 | 80  | 06/02/92 |
| A17.   | 5,356,291 | 10/18/94   | Darnell          | 433 | 216 | 01/26/93 |
| A18.   | 5,376,006 | 12/27/94   | Fischer          | 433 | 215 | 09/24/92 |
| A19.   | 5,573,399 | 11/12/96   | McClintock, II   | 433 | 80  | 10/24/94 |
| A20.   | 5,631,000 | 05/20/97   | Pellico          | 424 | 53  | 03/11/96 |
| A21.   | 5,702,251 | 12/30/97   | McClintock, II   | 433 | 80  | 05/31/96 |
| A22.   | 5,752,826 | 05/16/96   | Andreiko         | 433 | 41  | 05/19/98 |
| A23.   | 5,851,512 | 12/22/98   | Fischer          | 424 | 49  | 05/30/97 |
| A24.   | 5,895,218 | 04/20/99   | Quinn et al.     | 433 | 80  | 08/10/98 |
| A25.   | 5,922,307 | 07/13/99   | Montgomery       | 424 | 53  | 09/25/96 |
| A26.   | 5,985,249 | 11/16/99   | Fischer          | 424 | 49  | 10/14/97 |
| A27.   | 6,089,869 | 07/18/00   | Schwartz         | 433 | 215 | 03/15/99 |
| A28.   | 6,309,625 | 10/30/01   | Jensen et al.    | 424 | 49  | 11/12/98 |
| A29.   | 6,312,671 | 11/06/01   | Jensen et al.    | 424 | 53  | 12/20/99 |
| A30.   | 6,322,360 | 11/27/01   | Burgio           | 433 | 80  | 10/22/99 |
| A31.   | 6,331,292 | 12/18/2001 | Montgomery       | 424 | 53  | 11/16/98 |
| A32.   | 6,364,665 | 04/02/02   | Trenemerp        | 433 | 215 | 04/04/00 |
| A33.   | 6,379,147 | 04/30/02   | Georgakis et al. | 433 | 37  | 06/26/00 |
|  A34. | 6,488,914 | 12/3/2002  | Montgomery       | 424 | 53  | 10/31/01 |

Examiner: 

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Sheet 3 of 3

Form PTO-1449

Applicant: «FormData»  
Serial No.: «FormData»  
Filing Date: «FormData»  
For: «FormData»

Att'y Docket No.: «FormData»  
Group: «FormData»

Foreign Patent Documents

| <u>Examiner</u> | <u>Document No.</u> | <u>Publication Date</u> | <u>Country or Pat Office</u> |
|-----------------|---------------------|-------------------------|------------------------------|
| A35.            | WO 03/000216        | 3 Jan 2003              | WIPO                         |
| A36.            | WO 88/06869         | 22 September 1988       | WIPO                         |

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Applicant: Peter M. Allred, et al.

Serial No.: 10/646,443

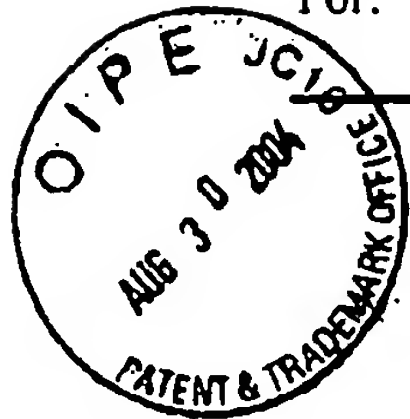
Att'y Docket No.: 7678.792

Filing Date: August 22, 2003

Group: 3732

Confirmation No.: 9485

For: KITS AND METHODS FOR BLEACHING AND DESENSITIZING TEETH

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTOther Documents

(including author, title, pertinent pages, etc.)

Examiner

Initials

Technical Bulletin: Hydrogen Peroxide-Polyvinylpyrrolidone Polymer Complexes, International Specialty Products, 1361 Alps Road, Wayne New Jersey 07470, www.ispcorp.com (Dec. 2003)

References Cited by Applicants

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Date Considered: 10-27-03

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EXPRESS MAIL LABEL NO.: EV 443087739 US  
Form PTO-1449

Applicant: Peter M. Allred, et al.  
Serial No.: 10/646,443  
Filing Date: August 22, 2003  
Confirmation No.: 9485  
For: KITS AND METHODS FOR BLEACHING AND DESENSITIZING TEETH



Sheet 1 of 2

Att'y Docket No.: 7678.792  
Group: 3732

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

|   |           |            |              |
|---|-----------|------------|--------------|
| 1 | 4,900,721 | 2/13/90    | Bansemir     |
| 2 | 5,008,093 | 04/16/91   | Merianos     |
| 3 | 5,108,742 | 04/28/04   | Merianos     |
| 4 | 5,183,901 | 02/02/93   | Login et al. |
| 5 | 6,080,397 | 06/27/00   | Pfirmedmann  |
| 6 | 6,689,344 | 2/10/04    | Chang et al. |
| 7 | 6,730,316 | 05/04/2004 | Chen         |

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EXPRESS MAIL LABEL NO.: EV 443087739 US

Form PTO-1449

Sheet 2 of 2

Applicant: Peter M. Allred, et al.

Serial No.: 10/646,443

Att'y Docket No.: 7678.792

Filing Date: August 22, 2003

Group: 3732

Confirmation No.: 9485

For: KITS AND METHODS FOR BLEACHING AND DESENSITIZING TEETH

### References Cited by Applicants

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Applicant: Peter M. Allred, et al.

Serial No.: 10/646,443

Att'y Docket No.: 7678.792

Filing Date: August 22, 2003

Group: 3732

Confirmation No.: 9485

For: KITS AND METHODS FOR BLEACHING AND DESENSITIZING TEETH

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

| Examiner<br>Initial* | Document<br>Number | Issue<br>Date | Name       |
|----------------------|--------------------|---------------|------------|
| AA1                  | 6,682,721          | 01-27-04      | Kim et al. |
| AA2                  | 6,649,147          | 11-18-03      | Ye et al.  |

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

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Date Considered: 11-27-05

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EXPRESS MAIL LABEL NO.: EV 329 632 846 US

Form PTO-1449

Sheet 1 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/646,443

Att'y Docket No.: 7678.792

Filing Date: August 22, 2003

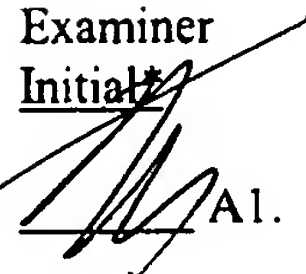
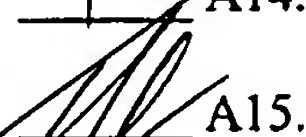
Group: Unknown

Confirmation No.: Unknown

For: KITS AND METHODS FOR BLEACHING AND DESENSITIZING TEETH

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Application Publication Documents

| <u>Examiner<br/>Initials</u>   | <u>Patent<br/>Number</u> | <u>Publication<br/>Date</u> | <u>Name</u>        | <u>Class</u> | <u>Sub<br/>Class</u> | <u>Filing<br/>Date</u> |
|--|--------------------------|-----------------------------|--------------------|--------------|----------------------|------------------------|
|  A1.   | US 2001/0046654          | 11/29/01                    | Zavitsanos et al.  | 433          | 32                   | 03/23/01               |
| A2.  | US 2002/0006387          | 01/17/02                    | Sagel et al.       | 424          | 53                   | 05/24/01               |
| A3.  | US 2002/0006388          | 01/17/02                    | Sagel et al.       | 424          | 53                   | 05/24/01               |
| A4.  | US 2002/0012685          | 01/31/02                    | Sagel et al.       | 424          | 401                  | 05/24/01               |
| A5.  | US 2002/0018754          | 02/14/02                    | Sagel et al.       | 424          | 49                   | 03/15/99               |
| A6.  | US 2002/0081555          | 06/27/02                    | Wiesel             | 433          | 215                  | 02/04/02               |
| A7.  | US 2002/0164292          | 11/07/02                    | Peterson et al.    | 424          | 53                   | 01/25/02               |
| A8.  | US 2002/0182154          | 12/05/02                    | McLaughlin         | 424          | 53                   | 08/08/01               |
| A9.  | US 2002/0187111          | 12/12/02                    | Xu et al.          | 424          | 53                   | 02/22/02               |
| A10.   | US 2002/0187112          | 12/12/02                    | Xu et al.          | 424          | 53                   | 02/22/02               |
| A11.   | US 2003/0003421          | 01/02/03                    | Besenheider et al. | 433          | 215                  | 07/02/01               |
| A12.   | US 2003/0012747          | 01/16/03                    | Peterson           | 424          | 53                   | 03/23/01               |
| A13.   | US 2003/0036037          | 02/20/03                    | Zavitsanos et al.  | 433          | 215                  | 08/05/02               |
| A14.   | US 2003/0044631          | 03/06/03                    | Sagal et al.       | 428          | 548                  | 08/22/02               |
|  A15. | US 2003/0068284          | 04/10/03                    | Sagel et al.       | 424          | 53                   | 12/17/02               |

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Date Considered: 10-27-05

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Sheet 2 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/646,443

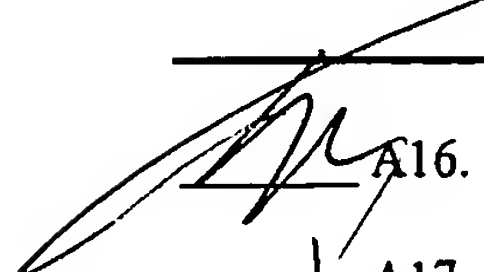
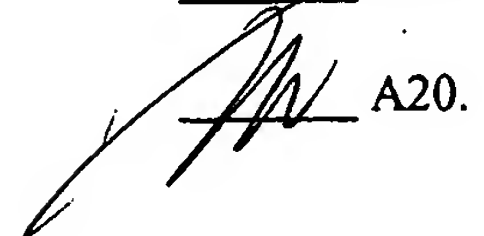
Att'y Docket No.: 7678.792

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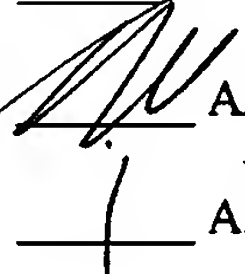
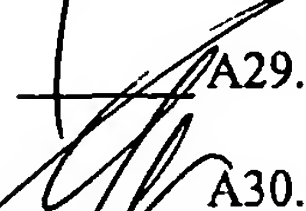
Group: Unknown

Confirmation No.: Unknown

For: KITS AND METHODS FOR BLEACHING AND DESENSITIZING TEETH

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|  A16.   | US 2003/0068601 | 04/10/03 | Zavitsanos et al. | 433 | 215 | 11/20/02 |
| A17.   | US 2003/0082114 | 05/01/03 | Kim et al.        | 424 | 53  | 02/13/01 |
| A18.   | US 2003/0133884 | 07/17/03 | Chang et al.      | 424 | 53  | 09/13/02 |
| A19.   | US 2003/0194382 | 10/16/03 | Chang et al.      | 424 | 53  | 06/20/02 |
|  A20. | US 2003/0198606 | 10/23/03 | Kim et al.        | 424 | 53  | 05/27/03 |

U.S. Patent Documents

| <u>Examiner<br/>Initial*</u>   | <u>Patent<br/>Number</u> | <u>Issue<br/>Date</u> | <u>Name</u>      | <u>Class</u> | <u>Sub<br/>Class</u> | <u>Filing<br/>Date</u> |
|--|--------------------------|-----------------------|------------------|--------------|----------------------|------------------------|
|  A21. | 2,835,628                | 05/20/58              | Saffir           | 167          | 84                   | 04/01/57               |
| A22.   | 3,688,406                | 09/05/72              | Porter et al.    | 32           | 40 R                 | 08/07/70               |
| A23.   | Re. 33,093               | 10/17/89              | Schiraldi et al. | 424          | 676                  | 11/16/88               |
| A24.   | 5,112,225                | 05/12/92              | Dieso            | 433          | 48                   | 08/02/91               |
| A25.   | 5,310,563                | 05/10/94              | Curtis et al.    | 424          | 616                  | 10/25/91               |
| A26.   | 5,326,685                | 07/05/94              | Gaglio et al.    | 433          | 215                  | 09/14/92               |
| A27.   | 5,346,061                | 09/13/94              | Newman et al.    | 206          | 221                  | 08/06/92               |
| A28.   | 5,425,953                | 06/20/95              | Sintov et al.    | 424          | 404                  | 04/22/92               |
| A29.   | 5,575,654                | 11/19/96              | Fontenot         | 433          | 215                  | 03/15/94               |
|  A30. | 5,562,449                | 10/08/96              | Jacobs et al.    | 433          | 215                  | 04/18/95               |

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Date Considered: 10-57-05

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EXPRESS MAIL LABEL NO.: EV 329 632 846 US

Form PTO-1449

Sheet 3 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/646,443

Att'y Docket No.: 7678.792

Filing Date: August 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: KITS AND METHODS FOR BLEACHING AND DESENSITIZING TEETH

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| <input type="checkbox"/>            | A44. | 5,980,249 | 11/09/99 | Fontenot        | 433 | 80  | 06/11/98 |
| <input type="checkbox"/>            | A45. | 5,989,569 | 11/23/99 | Dirksing et al. | 424 | 401 | 06/06/97 |
| <input type="checkbox"/>            | A46. | 6,045,811 | 04/04/00 | Dirksing et al. | 424 | 401 | 06/06/97 |
| <input type="checkbox"/>            | A47. | 6,096,328 | 08/01/00 | Sagel et al.    | 424 | 401 | 11/19/98 |
| <input checked="" type="checkbox"/> | A48. | 6,106,293 | 08/22/00 | Wiesel          | 433 | 215 | 12/04/98 |

Examiner:

Date Considered: 10-27-05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



EXPRESS MAIL LABEL NO.: EV 329 632 846 US

Form PTO-1449

Sheet 4 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/646,443

Att'y Docket No.: 7678.792

Filing Date: August 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: KITS AND METHODS FOR BLEACHING AND DESENSITIZING TEETH

|                                     |      |           |          |                 |     |      |          |
|-------------------------------------|------|-----------|----------|-----------------|-----|------|----------|
| <input checked="" type="checkbox"/> | A49. | 6,126,443 | 10/03/00 | Burgio          | 433 | 215  | 12/21/98 |
| <input type="checkbox"/>            | A50. | 6,136,297 | 10/24/00 | Sagel et al.    | 424 | 49   | 03/17/98 |
| <input type="checkbox"/>            | A51. | 6,142,780 | 11/07/00 | Burgio          | 433 | 80   | 06/15/99 |
| <input type="checkbox"/>            | A52. | 6,155,832 | 12/05/00 | Wiesel          | 433 | 215  | 10/08/99 |
| <input type="checkbox"/>            | A53. | 6,183,251 | 02/06/01 | Fischer         | 433 | 48   | 02/26/99 |
| <input type="checkbox"/>            | A54. | 6,197,331 | 03/06/01 | Lerner et al.   | 424 | 448  | 07/24/97 |
| <input type="checkbox"/>            | A55. | 6,247,930 | 06/19/01 | Chiang et al.   | 433 | 80   | 09/29/99 |
| <input type="checkbox"/>            | A56. | 6,274,122 | 08/14/01 | McLaughlin      | 424 | 53   | 05/17/99 |
| <input type="checkbox"/>            | A57. | 6,277,458 | 08/21/01 | Dirksing et al. | 424 | 42.3 | 03/15/99 |
| <input type="checkbox"/>            | A58. | 6,280,196 | 08/28/01 | Berghash        | 433 | 215  | 10/16/00 |
| <input type="checkbox"/>            | A59. | 6,287,120 | 09/11/01 | Wiesel          | 433 | 215  | 03/27/00 |
| <input type="checkbox"/>            | A60. | 6,322,360 | 11/27/01 | Burgio          | 433 | 80   | 10/22/99 |
| <input type="checkbox"/>            | A61. | 6,343,932 | 02/05/02 | Wiesel          | 433 | 215  | 11/13/00 |
| <input type="checkbox"/>            | A62. | 6,419,903 | 07/16/02 | Xu et al.       | 424 | 49   | 08/20/01 |
| <input type="checkbox"/>            | A63. | 6,419,906 | 07/16/02 | Xu et al.       | 424 | 53   | 03/12/01 |
| <input type="checkbox"/>            | A64. | 6,435,873 | 08/20/02 | Burgio          | 433 | 80   | 10/10/00 |
| <input type="checkbox"/>            | A65. | 6,440,396 | 08/27/02 | McLaughlin      | 424 | 49   | 02/29/00 |
| <input checked="" type="checkbox"/> | A66. | 6,458,380 | 10/01/02 | Leaderman       | 424 | 443  | 11/09/00 |

Examiner:

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10-27-05

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EXPRESS MAIL LABEL NO.: EV 329 632 846 US

Form PTO-1449

Sheet 5 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/646,443

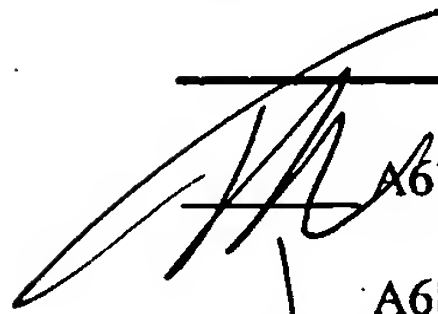
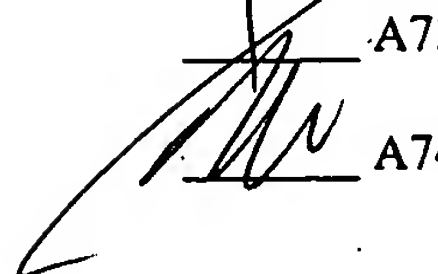
Att'y Docket No.: 7678.792

Filing Date: August 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: KITS AND METHODS FOR BLEACHING AND DESENSITIZING TEETH

|  |           |          |                   |     |     |          |
|--|-----------|----------|-------------------|-----|-----|----------|
|  A67.   | 6,461,158 | 10/08/02 | Sagel et al.      | 433 | 30  | 08/14/00 |
| A68.   | 6,497,575 | 12/24/02 | Zavitsanos et al. | 433 | 215 | 11/21/01 |
| A69.   | 6,500,408 | 12/31/02 | Chen              | 424 | 53  | 01/27/01 |
| A70.   | 6,503,486 | 01/07/03 | Xu et al.         | 424 | 53  | 02/22/02 |
| A71.   | 6,506,053 | 01/14/03 | Wiesel            | 433 | 215 | 02/04/02 |
| A72.   | 6,514,483 | 02/04/03 | Xu et al.         | 424 | 53  | 02/22/02 |
| A73.   | 6,514,484 | 02/04/03 | Rajaiah et al.    | 424 | 53  | 02/28/02 |
|  A74. | 6,551,579 | 04/22/03 | Sagel et al.      | 424 | 53  | 05/29/01 |

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Date Considered: 10-27-05

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EXPRESS MAIL LABEL NO.: EV 329 632 846 US

Form PTO-1449

Sheet 6 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/646,443

Att'y Docket No.: 7678.792

Filing Date: August 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: KITS AND METHODS FOR BLEACHING AND DESENSITIZING TEETH

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Date Considered: 10-27-05

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